Crosscutting Applications for a New Class of Ultra-Hard Materials Based on AlMgB₁₄

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The Problem

Current-generation hard materials employed in metal casting, mining, agriculture, and forest products industries are operating at or near their performance limits.

Advances in in cutting/grinding/machining speed (efficiency), range of applications, energy savings, and environmental impact minimization will not be realized unless new materials are developed

Solution:

A new family of ultra-hard materials based on AIMgB₁₄

- hardness from 28 46+ GPa,
- good fracture toughness,
- chemical compatibility with a wide range of workpiece materials,
- relatively low cost
- excellent potential for "self-lubricating" coatings

The Challenge

- Identify & quantify fundamental mechanisms responsible for extreme hardness
- Optimize hardness, toughness, wear resistance
- Develop appropriate mechanisms to promote high temperature oxidation resistance
- Processing scale-up (grams → Kg)
- Identify key industrial benefactors of the technology
- Provide technical assistance to commercialization efforts

Summary of Technology Status

Limitations with all existing competing technologies:

1) low hardness

silicon carbide, alumina, tungsten-carbide

2) low hot hardness

sintered tungsten-carbide, high strength steels, cubic boron nitride, titanium carbide

3) poor wear resistance/chemical inertness

sintered tungsten-carbide, cermets (titanium carbide, titanium nitride), high strength steels, diamond (when used on iron or nickel-containing alloys)

4) low fracture toughness

ceramics (alumina, silicon nitride)

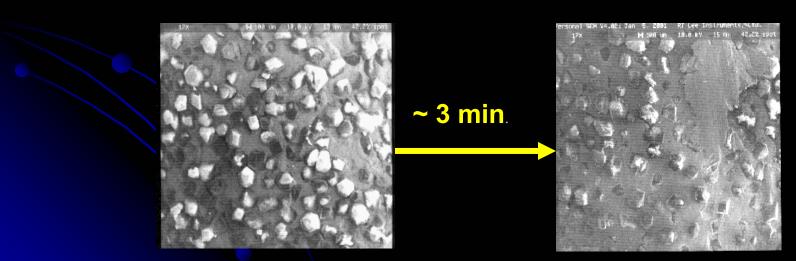
5) high cost

diamond, cubic boron nitride

Problems with existing technology

- diamond, c-BN are expensive (\$1,000 \$5,000/lb.)
- diamond reacts with iron (steels) during machining/cutting
 - reaction forms an undesirable by-product
 - · reaction is exacerbated by high temperatures during machining
 - · diamond is unsuitable for high speed cutting of iron-based materials
 - 90 million tons of iron & steel are machined each year in the U.S.,
 - higher cutting speeds ⇒ direct cost savings

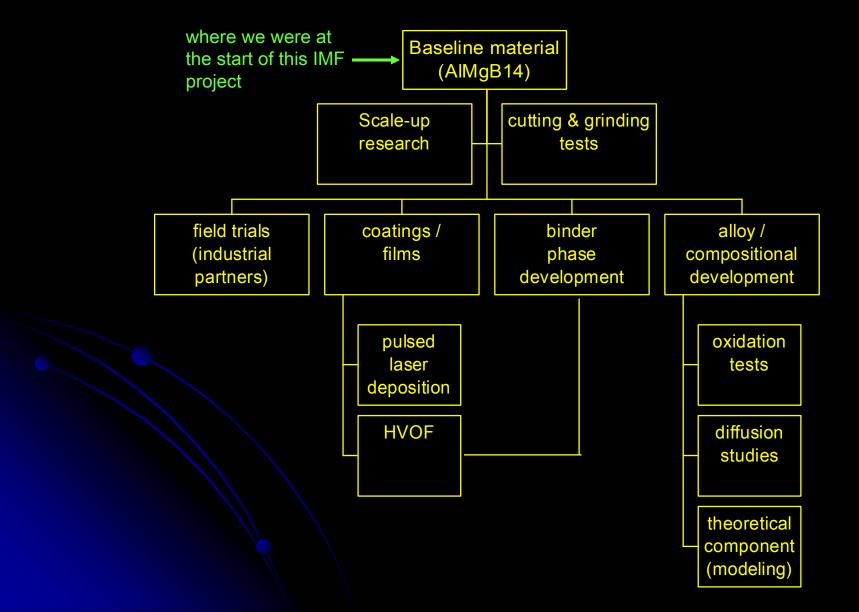
Example: failure of diamond grit during low-speed machining of steel



Diamond grit before cutting 304 SS

Diamond grit after cutting 304 SS

Project growth during OIT sponsorship:

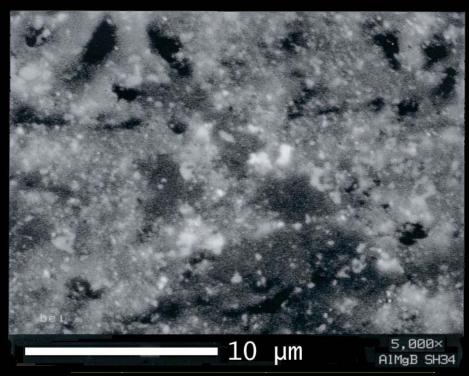


Active Industrial Collaborators as of June, 2003

- Praxair Surface Technologies
- Kennametal Adv. Solutions Group
- Kennametal Corporate
- Norton-St. Gobain
- A&H Industries
- Primewood / E&W Tooling
- Advanced Ceramics, Inc.
- Engis Corp.
- Union Electric Steel
- Concurrent Technologies
- Harris Corporation
- Stellram
- Sunnen Products Co.

Extreme hardness requires:

- hard matrix phase
- ultra-fine microstructure
 - uniform distribution of nanoscale second phase (TiB₂)
- low oxygen content
- no porosity



Processing objective: a high energy, high capacity mechanical alloying approach leading to reproducible microstructures in Kg quantities

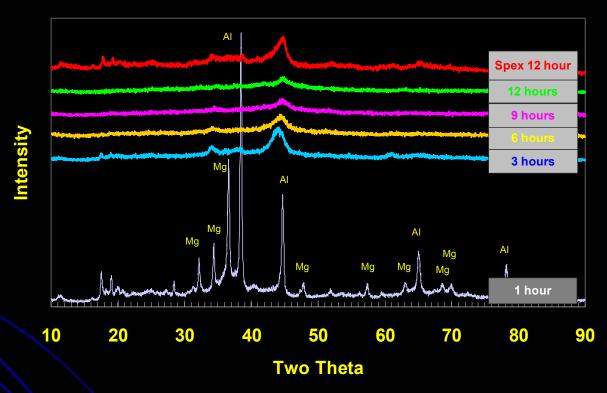
Processing scale-up Solid state synthesis (MA)

Critical component - enabling technology



Characterization of Zoz-milled powder

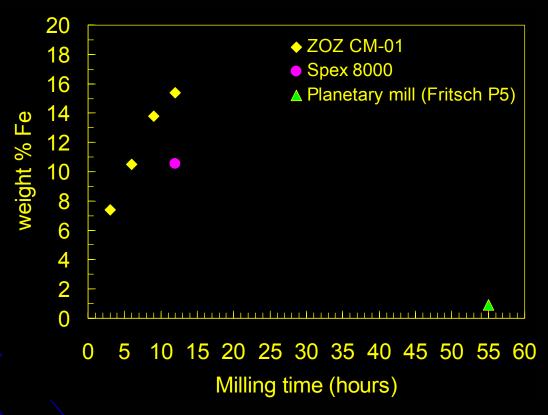
I. Comminution behavior:



- significant particle size reduction between 1 and 2 hours
- 3 hour processing in CM-01 produces material comparable to 12 hour processing in Spex 8000.

Characterization of Zoz-milled powder

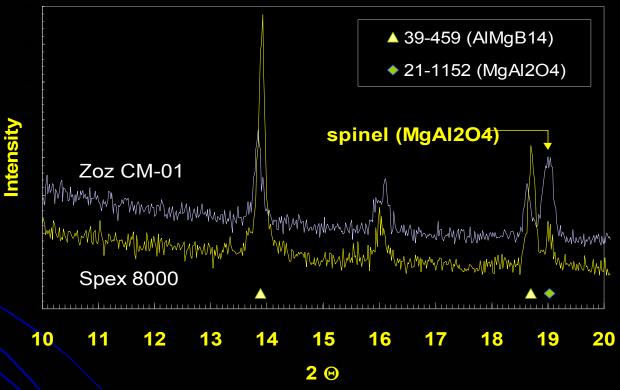
II. Fe pick-up from wear debris: (determined by ICP)



- Fe-content is a function of impact energy
 - Lowest contamination from PM; highest from Zoz CM-01
- Small amount of Fe desirable; in-situ toughening mechanism

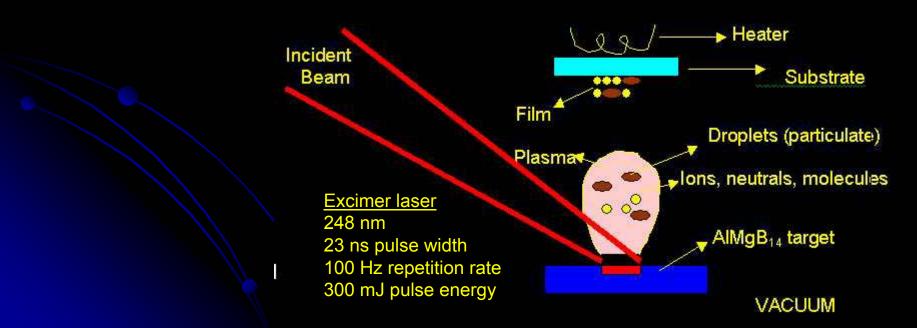
Characterization of Zoz-milled powder

III. Hot-pressed compacts:

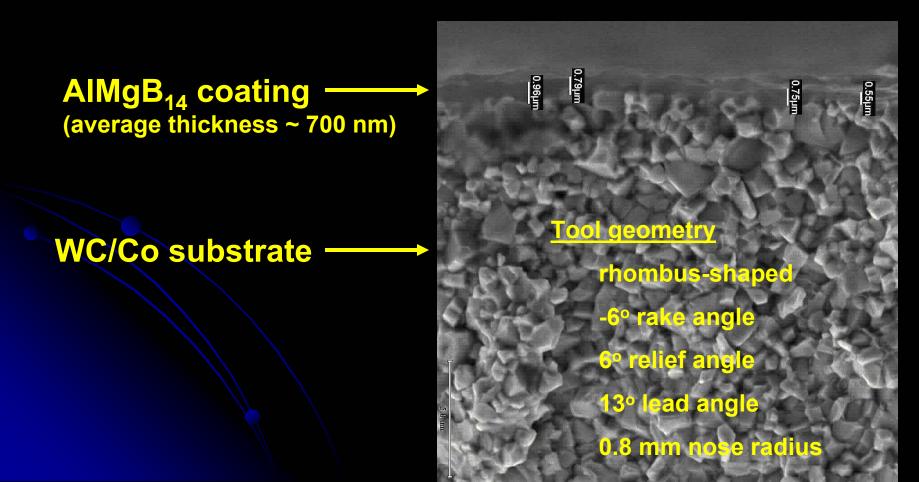


- Both approaches produce desired 1:1:14 phase
- Increased levels of spinel (impurity phase) observed in Zoz-milled samples
 - consequence of imperfect design of powder charging / discharging design
 - recently engineered a modification to reduce oxygen exposure

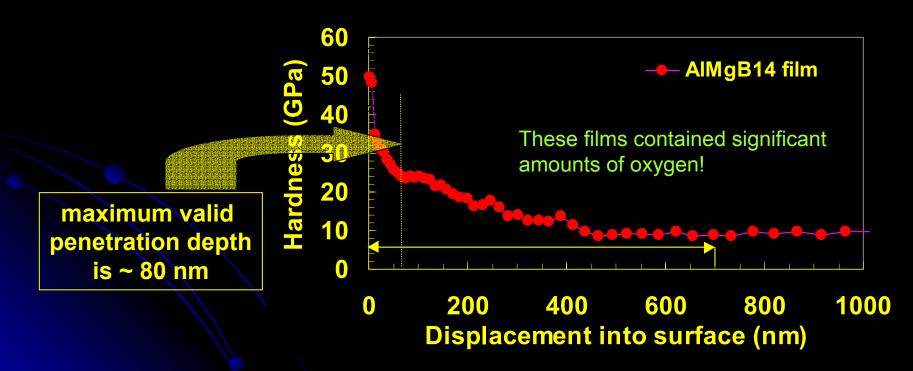
- Original proposal thermal spray (HVOF)
 - Typically requires kilogram quantites of feed powder
 - Needed alternative coating approach for research-scale sample sizes
- Pulsed Laser Deposition (PLD) employed during completion of scale-up efforts (Zoz mill)



Initial deposition on WC-6%Co cutting tools
 Carboloy-Seco Grade 883 1 micron grain size (CNMG 432-MR4)
 (recommended for Ti machining)



- Initial coatings were characterized and tested "asdeposited"
 - as-deposited film is amorphous
 - heat treatment promotes growth of 1:1:14 phase

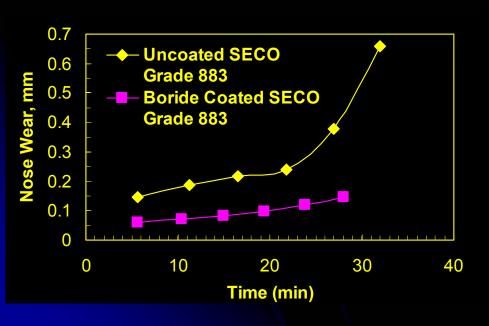


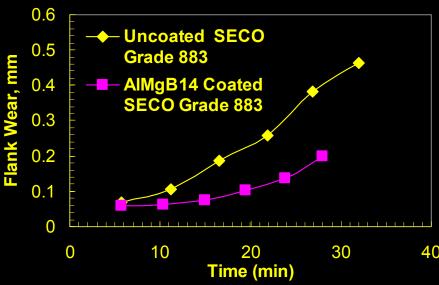
Film hardness ~ 22 - 25 GPa, WC/Co substrate hardness ~ 13 GPa

lathe cutting tests: Ti-6AI-4V

These results were obtained with "low" hardness, amorphous 1:1:14 coatings containing excessive spinel impurity phase

Special thanks to P. Molian and R. Cherukuri from Iowa State University's Dept. of Mechanical Engr. for conducting these cutting tests.

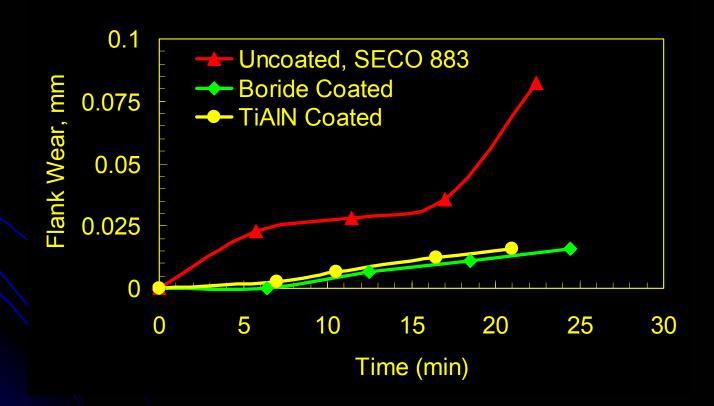




<u>lathe cutting tests: Ti-6Al-4V</u> comparison with other potential technologies

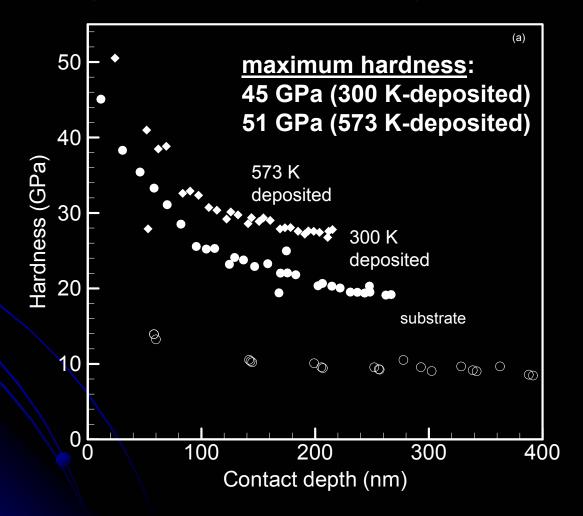
Flank wear:

uncoated, ultra-hard boride coated, and state-of-theart PVD-coated TiAIN carbide tools:

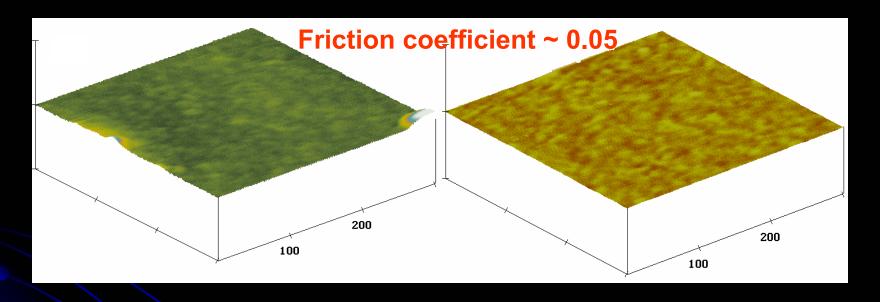


Thin films/coatings (baseline material)

 PLD studies repeated under improved vacuum conditions (10⁻⁷ Torr vs. 10⁻⁴ Torr)



AFM images (0.3 \times 0.3 μ m²) of (a) the 293K -deposited AIMgB₁₄ film and (b) the 573 K-deposited AIMgB₁₄ film.



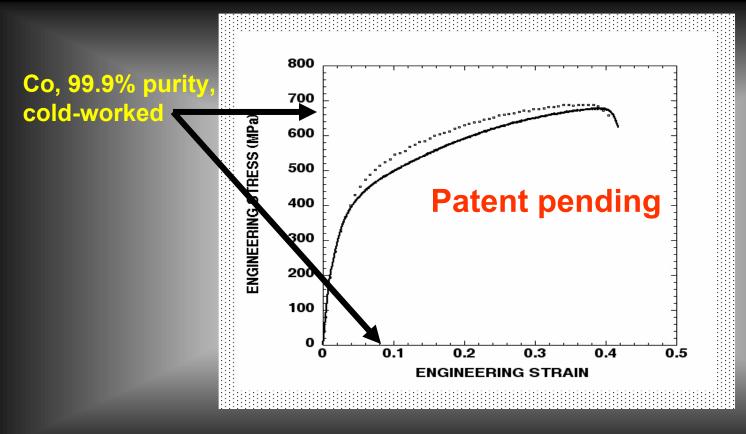
surface roughness:

0.736 nm (293 K – deposited AIMgB₁₄ film) 0.171 nm (573 K-deposited AIMgB₁₄ films)

Y. Tian, A. F. Bastawros, C. C. H. Lo, A. P. Constant, A. M. Russell, and B. A. Cook, "Superhard, self-lubricating AlMgB₁₄ films," Submitted to Appl. Phys. Lett.

Binder phase:

- metal-based binders are needed to enable thermal spray of ceramic coatings
- binder materials increase toughness, enable liquid phase sintering to full density



Binder phase:

Hardness and Fracture Toughness as Estimated by the Palmqvist Technique (1 Kg load)

Material	Hardness (GPa)	K _{IC} , (MPa√m)
SiC	23	3.0
WC/Co (1)	22 – 13	5 - 10
AlMgB ₁₄ (baseline)	30	3 - 4
AlMgB ₁₄ + 5 vol % binder	28	4.2 - 6.3
AIMgB ₁₄ + 20 vol % binder ⁽²⁾	25	6.6 - 8.5

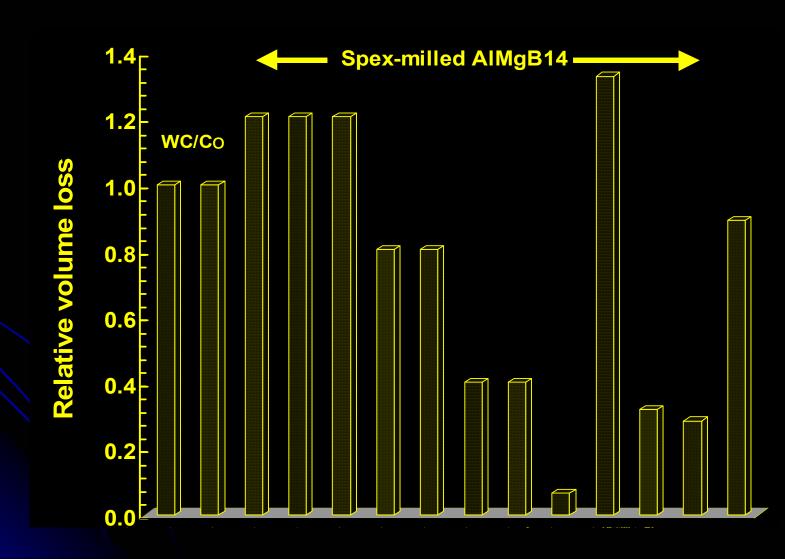
(1) Hardness and fracture toughness of WC/Co depend strongly on the amount of Co present. Typical amounts range from 6 to 25 volume percent.

results of recent consolidation studies on the baseline AlMgB₁₄ show that a hardness of 30 GPa combined with a K_{1c} of 10 MPa \sqrt{m} is achievable

Abrasion resistance:

Spex-milled materials

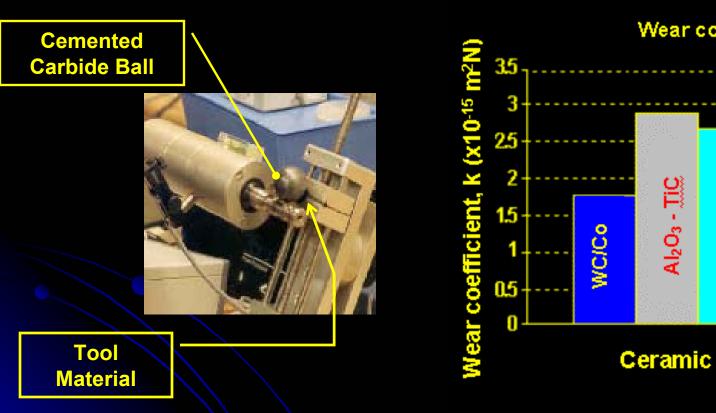
2-body abrasion - high velocity alumina particle flux



Wear resistance:



Calo 3-body Abrasive Wear Test



Wear coefficients AIMgB₁₄ **Ceramic material**

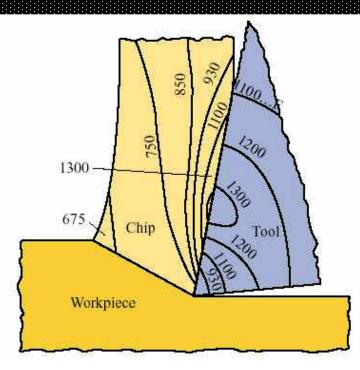
Archard's Equation: $k \propto b^4$

k: Wear Coefficient

b : Crater Diameter

Chemical stability:

high temperature diffusion / chemical reactivity



- Local temperatures can reach 1300°C during high speed machining
- Diffusion & dissolution lead to rapid wear and reduced efficiency
- Thermal issues become more acute for Ti, Ti alloys

chemical stability with respect to workpiece

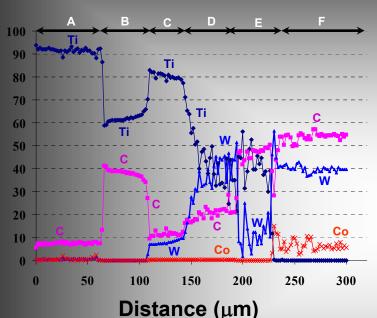
High temperature diffusion:



120 hours at 1273K

At %

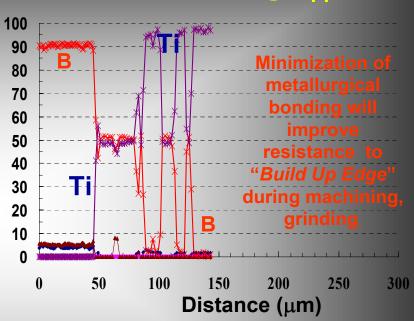




Diffusion Zone Widths:

WC-Co + Ti \rightarrow 200 μ m AlMgB₁₄ + Ti \rightarrow 120 μ m

Ti vs. AIMgB₁₄



Strong Interfacial Reaction Absence of Interfacial Reaction

AIMgB₁₄ shows lower diffusive reactivity than WC/Co when evaluated against titanium

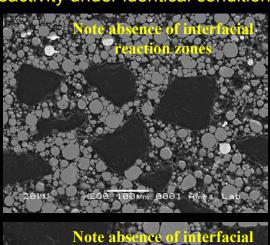
Chemical stability:

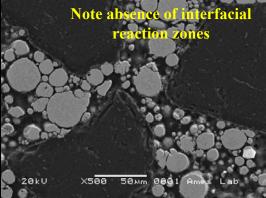
high temperature chemical reactivity / oxidation resistance Identification and optimization of reactivity with engineered matrix materials

Reactivity test: 8 hours at 1353K in ambient air

Range of interest: 900°C to 1300°C Diffusive reactions observed with baseline AlMgB₁₄ Interfacial reaction zone 10xm 0001 Ames Lab

Modified composition (derived from AIMgB₁₄ parent) shows negligible reactivity under identical conditions





Relevance to IMF program

Implementation of AIMgB $_{14}$ -based materials projected to result in a total energy savings of 10 - 15 Tbtu/yr.

Potential markets

1) Current estimated annual cubic boron nitride market:

Cubic BN: reference cost \$1,500/#

Region	Company	% of global market	# produced	\$ value
Domestic:	GE	36%	80,000	~120M
Europe:	DeBeers	36%	80,000	~120M
Japan/Pacific Rim:	Showa Denko	<u>27%</u>	<u>60,000</u>	<u>~90M</u>
Global totals:		100%	220,000	\$330M

- ~ 1/4 of domestic CBN mkt. ⇒ \$30M
- 2) Penetration into existing diamond market
- 3) "Upgrade" of current tungsten-carbide users
 - 20% of current annual sales (\$1.3B) \Rightarrow \$260M
- 4) Other markets:
 - (woodworking, mining, paper pulping, friction stir welding)
- 5) Protective coatings
 - (agriculture, mining, construction, medical,...)

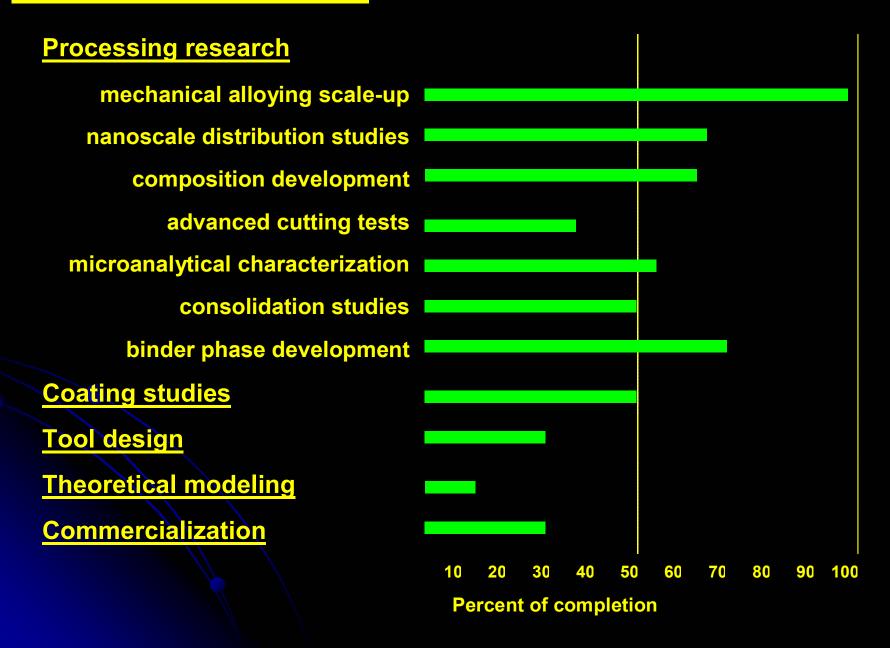
Anticipated contribution of knowledge:

- Improved understanding of fundamental mechanisms responsible for extreme hardness
 - role of Hall-Petch relationship in composites
 - contribution of dislocation motion to microplasticity in B₁₂ compounds
 - limits to hardness (strength) in nanocomposites
 - relationship between hardness and electrical/thermal conductivity
 - high temperature stability of nanocomposites
- Improved ceramic processing technology
 - solid state mechanosynthesis reduced sintering temperatures
 - densification mechanisms
 - binder phase interfacial chemistry
- Development of advanced thin film coatings
 - congruent ablation vs. sputtering vs. thermal spray
 - tribological properties ultra low friction

Commercialization roadmap:

- Viable Technologies, LLC has signed an option agreement for baseline technology, advanced compositions, and binder
- 3-way partnership (Viable, ISURF, research team) to assist industrial partners on identification of potential opportunities, optimization of properties, and transfer of samples for evaluation and feedback.
- Development of formal business plan is in progress; completion expected August - September '03.
- Pilot plant start-up expected second quarter, FY'04

Milestone schedule:



Publications:

- Y. Tian, A. Constant, C.H.C. Lo, J.W. Anderegg, A.M. Russell, J.E. Snyder, and P. Molian, "Microstructure Evolution of Al-Mg-B Thin Films by Thermal Annealing", <u>Journal of Vacuum Science and Technology A</u>, submitted (under review).
- B.A. Cook, J. L. Harringa, T. L. Lewis, B. Lee, and A.M. Russell, "Processing Studies and Selected Properties of Ultra-hard AlMgB₁₄", <u>Journal of Advanced Materials</u>, (accepted, scheduled for publication in July, 2003).
- J.M. Hill, D.C. Johnston, B.A. Cook, J.L. Harringa, and A.M. Russell, "Magnetization Study of the Ultra-hard Material MgAlB₁₄", <u>Journal of Magnetism and Magnetic Materials</u>, accepted (in press).
- T.L. Lewis, A.M. Russell, B.A. Cook, and J.L. Harringa, "Al₂MgO₄, Fe₃O₄, and FeB Impurities in AlMgB₁₄", <u>Materials Science & Engineering A</u>, Vol. 351, Issues 1-2, pp. 117-122, 2003.
- B.A. Cook, J.L. Harringa, A.M. Russell, and S.A. Batzer, "A Proof-of-Concept Study of the Use of Complex Borides for Disassembly of Decommissioned Nuclear Reactor Containment Vessels", <u>Machining Science and Technology</u>, 7 (2003) 157 165.
- A. Russell and B. Cook, "Crosscutting Industrial Applications of a New Class of Ultra-Hard Borides", <u>IMF Newsletter:</u> <u>Industrial Materials for the Future</u>, Winter, 2002, pp. 4-5.

- R. Cherukuri, P. Molian, A. M. Russell, and Y. Tian, "A Feasibility Study of Pulsed Laser Deposited, New Superhard Tool Coatings for Metal Cutting," <u>Surface Coatings and</u> Technology, Vol. 155, pp. 112-120, 2002.
- A.M. Russell, B.A. Cook, J. L. Harringa, and T. L. Lewis, "Coefficient of Thermal Expansion Determinations for AlMgB₁₄", <u>Scripta Materialia</u>, Vol. 46, pp. 629-633, 2002.
- Y. Tian, M. Womack, P. Molian, C.C.H. Lo, J.W. Anderegg, and A.M. Russell, "Microstructure and Nanomechanical Properties of Al-Mg-B-Ti Films Synthesized by Pulsed Laser Deposition", <u>Thin Solid Films</u>, Vol. 418, pp. 129-135, 2002.
- Y. Lee and B. N. Harmon, "First principles calculation of elastic properties of AlMgB₁₄," <u>Journal of Alloys and Compounds</u>, 338 (2002) 242 247.
- Y. Tian , A. F. Bastawros, C. C. H. Lo, A. P. Constant, A. M. Russell, and B. A. Cook, "Superhard, self-lubricating AlMgB₁₄ films," <u>Applied Physics Letters</u>, manuscript submitted June, 2003 (under review).
- B.A. Cook, A.M. Russell, J.L. Harringa, A.J. Slager, and M. Rohe, "A New Fracture-Resistant Binder Phase for Use with AlMgB₁₄ and Other Ultra-Hard Ceramics", <u>Journal of Alloys and Compounds</u>, manuscript submitted April, 2003 (under review).
- V. Bedekar, D. Bhat, S. Batzer, and L. Walker, "CHEMICAL INTERDIFFUSION STUDY OF ULTRA-HARD CERAMIC AIMgB₁₄ IN THE MACHINING OF AEROSPACE ALLOYS," Presented at GREAT INTERNATIONAL SOUTHWEST REGION X GRADUATE STUDENT TECHNICAL CONFERENCE (GSTC), March 28-29, 2003, Houston, Texas

Patents - Disclosures:

Provisional Utility Patent Serial No. 60/422,001, "Ductile Binder Phase for Use with AlMgB₁₄ and Other Hard Ceramic Materials"; B.A. Cook, A.M. Russell, and J.L. Harringa (ISURF #2949, filed October 29, 2002).

U.S. Patent 6,432,855, "Superabrasive Boride and a Method of Preparing the Same by Mechanical Alloying and Hot Pressing"; (divisional patent of 6,099,605) issued August 13, 2002, B.A. Cook, J. L. Harringa, and A.M. Russell.

U. S. Patent no. 6,099,605, "Superabrasive Boride and a Method of Preparing the Same by Mechanical Alloying and Hot Pressing," issued August 8, 2000, B. A. Cook, A. M. Russell, and J. A. Harringa.

Intellectual Property Disclosure and Record, filed March, 2003, "An Ultra-hard, Low Friction Coating Based on AlMgB₁₄ for Reduced Wear of MEMS and other Tribological Components and Systems"; B.A. Cook, A.M. Russell, J.L. Harringa, P. Molian, A.P. Constant, and Y. Tian. (ISURF docket number 03035, AL490).

Intellectual Property Disclosure and Record, filed August, 2002, "A New, Oxidation Resistant, Ultra-hard Material, Aluminum Chromium Boride, AlCrB₁₄"; B.A. Cook, A.M. Russell, and J.L. Harringa. (ISURF docket number 2951, AL484).

Intellectual Property Disclosure and Record, filed August, 2002, " A New, Oxidation Resistant, Ultra-hard Material, Aluminum Titanium Boride, AlTiB₁₄"; B.A. Cook, A.M. Russell, and J.L. Harringa. (ISURF docket number 2950, AL483).

<u>Acknowledgment</u>

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